Revised 3/18/97

UNIFORM INVESTMENT COMPANY NOTICE FILING

Please **Read Instructions Prior To Filling Out Form** State File No. _____

Notice to the State/Commonwealth of _____

1. Name of issuer _____

_____

2. Address _____

_____

3. Type of Filing: (check all that apply) -

☐ Open-end Mutual Fund ☐ Unit Investment Trust ☐ Closed-end Mutual Fund

☐ Initial Filing ☐ Renewal Filing ☐ Amendments ☐ Exemption Filing

☐ Termination ☐ Withdrawal ☐ Sales Report ☐ Increase Dollar Amount

☐ Other (specify) _____

For name-changes, provide former name:

For amendments, specify nature of the change(s): _____

4. Description and Name of Securities:

Description: _____

Name of Fund _____

_____

Portfolio(s) _____

_____

Class(es) _____

_____

Are all-of the securities described on this form offered in one prospectus? yes ☐ No☐

5. Contact Person:

Name _____ Firm _____

Address _____

_____

City _____ State _____

ZIP _____ Telephone _____

FAX: _____ E-Mail _____

6. CIK# (EDGAR) _____ Federal ID# (if applicable) _____

Broker-Dealer: _____ Broker-Dealer's CRD No.: _____

Fiscal Year End (if applicable) _____

7. ☐ Notice Period: Beginning Date ____ / ____ / ____ Ending Date: ____ / ____ / ____

☐ The issuer elects to have its notice filing made effective upon filing.

☐ The issuer elects to have its notice filing period begin with SEC effectiveness and thereby agrees to provide the above state prompt notice of such effectiveness.

FORM NF

8. Notice Fee: $ _____ ☐ No Filing Fee Required

Sales Report Fee (if applicable) S _____

Other Fee $ _____ Explain _____

9. To determine the basis used for calculating the filing fee, provide the following:

☐ Indefinite Amount ☐ Definite Amount $_____

☐ Exemption Filing ☐ Net Assets: $_____

10. For filings to increase the dollar amount of securities reported during the notice period, provide the following:

(1) Previous Amount of Securities _____

(2) Amount of increase _____

(3) Cumulative Amount of Securities _____

11. **SALES REPORT [To the extent not applicable, place N/A in the blank(s)]**

Period Covered: Beginning date: ____/____/____ Ending: ____/____/____

Balance at beginning of period $ _____

Increases during period $ _____

Amount available for sale $ _____

Sales during the period $ _____

Unsold balance at end of period $ _____

12. **UNIFORM CONSENT TO SERVICE OF PROCESS (select one)**

1. ☐ **Was previously filed with state with respect to the issuer of the securities by this notice and is incorporated by reference**
2. ☐ **Is attached.**
3. ☐ **Not Applicable (explain):** _____

13. **SIGNATURES**

The undersigned under penalties of perjury certifies that s/he has executed this Uniform Investment Company notice on behalf of, and with the authority of the issuer. The undersigned and the issuer represent that the information and statements contained in the notice filed, are current true and complete to the best of his or her knowledge, information and belief and that any documents submitted with the notice are true copies of the originals, and that the securities covered by this Notice are Covered Securities as defined by Section 18(b)(2) of the Securities Act of 193 3.

Dated this ____ day of _____ , _____
Month Year

For: _____ By: _____
Name of Issuer Signature of Authorized Agent of Issuer

_____ _____
Print Name Title of Agent for Issuer

The filing of this notice constitutes a binding agreement by the above-named issuer and under the laws of the jurisdiction in which this Form is filed, that upon receipt of a request from such jurisdiction, the issuer will promptly provide a copy of its current prospectus, and/or statement of additional information, and/or any other document, if any, as riled with the Securities and Exchange Commission.

Delaware Securities Division
Effective 8/16/91

Securities Registration Fee Schedule

Amount to offered in Delaware		Fee
Indefinite or unlimited		$1,000
$200,000	(and above)	1,000
190,000		950
180,000		900
170,000		850
160,000		800
150,000		750
140,000		700
130,000		650
120,000		600
110,000		550
100,000		500
90,000		450
80,000		400
70,000		350
60,000		300
50,000		250
40,000	(and below)	200

NOTE: Unless the floor or ceiling affects the outcome, every $10,000 incremental addition to the securities offered in Delaware means a $50 fee increase. Every $5,000 incremental addition means a $25 fee increase.